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**PATENT**  
Attorney Docket No.: 020699-000510US  
Client Reference No.: 50P3902.01

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

On August 21, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Julie Taylor Clough

#6

COPY OF PAPERS  
ORIGINAL FILED

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Duruoz, et al.

Application No.: 10/005,884

Filed: December 3, 2001

For: APPLICATION PROGRAMMING  
INTERFACE FOR COMMUNICATION  
BETWEEN AUDIO/VIDEO FILE  
SYSTEM AND AUDIO VIDEO  
CONTROLLER

Examiner:

Art Unit: 2151

TRANSMITTAL LETTER –  
RESPONSE TO NOTICE TO FILE  
MISSING PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application mailed July 1, 2002, enclosed is a copy of the Response to Notice to File Missing Parts previously filed on May 7, 2002 in the above-identified application.

Please note that inventor Natarajan Sundaresan's signature appears on a second copy of the one-page declaration.

Applicants believe that the additional surcharge on this Notice to File Missing Parts does not apply, and therefore, no fee is due. However, the Commissioner is hereby

authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430.

Respectfully submitted,



Horace H. Ng  
Reg. No. 39,315

**Customer No. 20350**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415 576-0300  
HHN:jtc

SF 1372952 v1

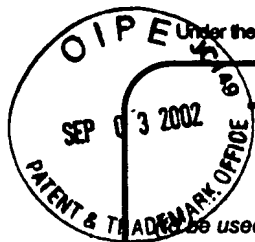
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

Use for all correspondence after initial filing)

|  |                     |
|--|---------------------|
| Application Number                       | 10/005,884          |
| Filing Date                              | December 3, 2001    |
| First Named Inventor                     | Duruoz, Ibrahim Cem |
| Group Art Unit                           | 2151                |
| Examiner Name                            |                     |
| Total Number of Pages In This Submission |                     |
| Attorney Docket Number                   | 020699-000510US     |

## ENCLOSURES (check all that apply)

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input checked="" type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Assignment Recordation Coversheet;<br>Return Postcard |
| Remarks   |  | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                          |   |                 |
|--------------------------|---|-----------------|
| Firm and Individual name | Townsend and Townsend and Crew LLP<br>Guy W. Chambers | Reg. No. 30,617 |
| Signature                |   |                 |
| Date                     | May 7, 2002   |                 |

## CERTIFICATE OF MAILING

|  |               |                  |
|--|---------------|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 7, 2002 |               |                  |
| Typed or printed name  | Linda Burgess |                  |
| Signature  |               | Date May 7, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1343705 v1

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M/P

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                             |                               |                 |
|---|-----------------------------|-------------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 10/005,884                    |                 |
|   | <b>Filing Date</b>          | December 3, 2001              |                 |
|   | <b>First Named Inventor</b> | Duruoz, Ibrahim Cem           |                 |
|   | <b>Group Art Unit</b>       | 2151                          |                 |
|   | <b>Examiner Name</b>        |                               |                 |
| <b>Total Number of Pages in This Submission</b>   | 18                          | <b>Attorney Docket Number</b> | 020699-000510US |

| ENCLOSURES (check all that apply)  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>Copy of Response to Notice of Missing Parts Previously filed on May 7, 2002 forwarding executed declaration, copy of Notice to File Missing Parts Mailed July 1, 2002, Return Postcard |
| <b>Remarks</b>   |  | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| <b>Firm and Individual name</b>            | Townsend and Townsend and Crew LLP<br>Horace H. Ng<br>Reg. No. 39,315 |
| <b>Signature</b>                           |   |
| <b>Date</b>                                | 8-21-02   |

| CERTIFICATE OF MAILING   |                     |
|--|---------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 21, 2002 |                     |
| <b>Typed or printed name</b>   | Julie Taylor Clough |
| <b>Signature</b>   |                     |
| <b>Date</b>  | August 21, 2002     |

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SF 1378292 v1

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | 10/005,884          |
| Filing Date          | December 3, 2001    |
| First Named Inventor | Durvoz, Ibrahim Cem |
| Examiner Name        |                     |
| Group Art Unit       | 2151                |
| Attorney Docket No.  | 020699-000510US     |

TOTAL AMOUNT OF PAYMENT (\$) 2066

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|--------------|----------|----------|------------------------|----------|
|              |              | 101      | 740      | Utility filing fee     | 740      |
|              |              | 106      | 330      | Design filing fee      |          |
|              |              | 107      | 510      | Plant filing fee       |          |
|              |              | 108      | 740      | Reissue filing fee     |          |
|              |              | 114      | 160      | Provisional filing fee |          |

SUBTOTAL (1)

(\$740)

### 2. EXTRA CLAIM FEES

|                    |    |         |   |    |   |      |   |       |
|--------------------|----|---------|---|----|---|------|---|-------|
| Total Claims       | 62 | -2020** | = | 42 | X | \$18 | = | \$756 |
| Independent Claims | 3  | -33**   | = | 0  | X | \$84 | = | \$0   |
| Multiple Dependent |    |         |   |    | X |      | = |       |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
|              |              | 103      | 18       | Claims in excess of 20                                     |          |
|              |              | 102      | 84       | Independent claims in excess of 3                          |          |
|              |              | 104      | 280      | Multiple dependent claim, if not paid                      |          |
|              |              | 109      | 84       | ** Reissue independent claims over original patent         |          |
|              |              | 110      | 18       | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2)

(\$756)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
|              |              | 105      | 130      | Surcharge - late filing fee or oath  | 130      |
|              |              | 127      | 50       | Surcharge - late provisional filing fee or cover sheet                     |          |
|              |              | 139      | 130      | Non-English specification  |          |
|              |              | 147      | 2,520    | For filing a request for reexamination                                     |          |
|              |              | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
|              |              | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
|              |              | 115      | 110      | Extension for reply within first month                                     |          |
|              |              | 116      | 400      | Extension for reply within second month                                    | 400      |
|              |              | 117      | 920      | Extension for reply within third month                                     |          |
|              |              | 118      | 1,440    | Extension for reply within fourth month                                    |          |
|              |              | 28       | 1,960    | Extension for reply within fifth month                                     |          |
|              |              | 19       | 320      | Notice of Appeal   |          |
|              |              | 20       | 320      | Filing a brief in support of an appeal                                     |          |
|              |              | 21       | 280      | Request for oral hearing   |          |
|              |              | 38       | 1,510    | Petition to institute a public use proceeding                              |          |
|              |              | 140      | 110      | Petition to revive - unavoidable   |          |
|              |              | 141      | 1,280    | Petition to revive - unintentional   |          |
|              |              | 142      | 1,280    | Utility issue fee (or reissue)   |          |
|              |              | 143      | 460      | Design issue fee   |          |
|              |              | 144      | 620      | Plant issue fee  |          |
|              |              | 122      | 130      | Petitions to the Commissioner  |          |
|              |              | 123      | 50       | Petitions related to provisional applications                              |          |
|              |              | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
|              |              | 581      | 40       | Recording each patent assignment per property (times number of properties) | 40       |
|              |              | 146      | 740      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
|              |              | 149      | 740      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
|              |              | 179      | 740      | Request for Continued Examination (RCE)                                    |          |
|              |              | 169      | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$570)

## SUBMITTED BY

Complete (if applicable)

|                   |                        |                                   |             |           |              |
|-------------------|------------------------|-----------------------------------|-------------|-----------|--------------|
| Name (Print/Type) | Guy W. Chambers        | Registration No. (Attorney/Agent) | 30,617      | Telephone | 415-576-0200 |
| Signature         | <i>Guy W. Chambers</i> | Date                              | May 7, 2002 |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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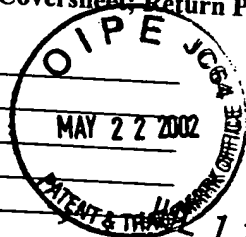
RE: Duruoz, Ibrahim Cem et al  
TITLE OF DOCUMENT(S): Revised Drawing Fig. 1  
Transmittal Form; Fee Transmittal (2); Copy of Notice of Missing Parts;  
Declaration; Assignment; Assignment Recordation Coversheet; Return Postcard

Application No. 10/005,884  
File No. 020699-000510  
Date Due May 7, 2002  
Date Mailed: May 7, 2002  
Attny/Secty. HHN:lcb  
SF 1343723 v1

TO THE U.S. PATENT AND TRADEMARK OFFICE  
Please stamp the date of receipt of the following document(s)

RE: Duruoz, Ibrahim Cem et al  
TITLE OF DOCUMENT(S): Revised Drawing Fig. 1  
Transmittal Form; Fee Transmittal (2); Copy of Notice of Missing Parts;  
Declaration; Assignment; Assignment Recordation Coversheet; Return Postcard

Application No. 10/005,884  
File No. 020699-000510  
Date Due May 7, 2002  
Date Mailed: May 7, 2002  
Attny/Secty. HHN:lcb JTC  
SF 1343723 v1



11 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/005,884         | 12/03/2001          | Ibrahim Cem Duruoz    | 020699-000510US        |

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 7984

## FORMALITIES LETTER



\*OC000000007275260\*

Date Mailed: 01/07/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$756.
  - \$756 for 42 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1626.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to read "P. Zell", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/005,884         | 12/03/2001          | Ibrahim Cem Duruoz    | 020699-000510US        |

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 7984

## FORMALITIES LETTER



\*OC000000008383610\*

Date Mailed: 07/01/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Natarajan Sundaresan*
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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